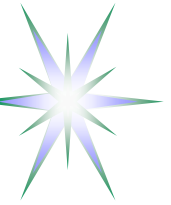


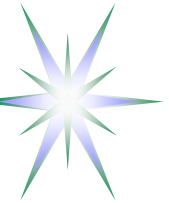
Policy Based Access Control
in
CNL3 Authorisation Service
(Grid-based Collaborative Environment)

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System and Network Engineering Group
University of Amsterdam



Outline

- CNL2 Security and AuthZ service – Overview
 - ◆ Security requirements and design approach
 - ◆ Role Based Access Control (RBAC) and XACML policy examples
- General concept and approach
 - ◆ Access Control model built around Job/Experiment description
- GAAA-RBAC profile – design and implementation suggestions
 - ◆ Configuration and trust domains management
 - ◆ AuthZ session management
 - ◆ Using AuthZ tickets and tokens for performance optimisation
- Summary – Future development
- Additional materials (technical)



GCE/OCE specific security requirements

- Open/Grid-based Collaborative Environment specific security requirements
 - ◆ Human controlled and interactive
 - ◆ Dynamic and multidomain
 - ◆ Customer driven
 - ◆ Data protection: personal, experimental, and metadata



Design approach in CNL2 for AuthZ service

- Authorisation service design principles
 - ◆ Develop only new components not available in existing tools
 - ◆ Generic approach (using GAAA AuthZ framework)
 - ◆ Using standards and ensure compatibility with existing AuthZ/N tools and middleware platforms
- Compatibility and integration with existing access control tools
 - ◆ Policy formats mapping for flexible policy exchange and combination
 - ◆ GT4/gLite AuthZ Framework

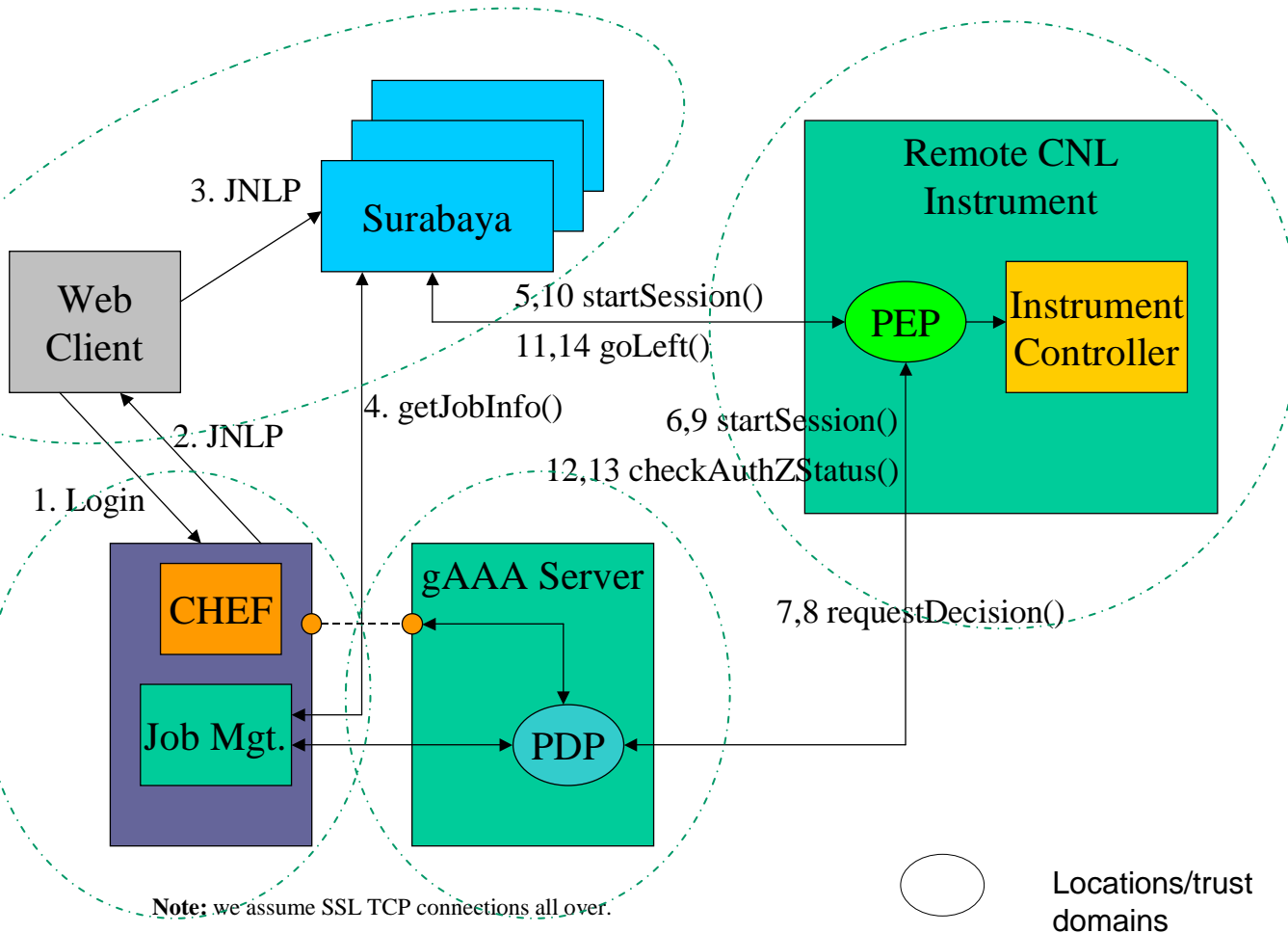


CNL2 Summary - Used technologies and new developments

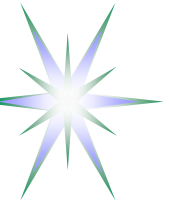
- Job-centric security model that responds OCE dynamic distributed requirements
 - ◆ Job description format – intended to be compatible with WS-Agreement and JSDL (Job Submission Description Language)
- Extended RBAC functionality based on GAAA Authorisation framework
 - ◆ XACML Request/Response messaging
 - ◆ Migration from AAA policy expression format to XACML policy
- Authorisation service performance optimisation using tickets/tokens
 - ◆ Proprietary and SAML based AuthzTicket format
 - ◆ AuthZ Session management
- Trust model for distributed access control system and flexible key management
- XML Signature and XML Encryption for JobDescription and AuthzTicket security



Implementation: Authorisation Service operation in a CNL2 Demo system

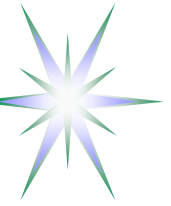


- JNLP – Java Network Launch Protocol
- CHEF – Collaborative tool
- Surabaya – Collaborative Workspace environment
- Kizna SyncShare – realtime job management



Design conventions and agreements

- Key distribution and trust establishing
 - ◆ *Currently, in search of simple consistent model*
- Policy definition and format including subject, attributes/roles, actions semantics and namespaces
 - ◆ Compatibility with existing formats, e.g. SAML, XACML
 - ◆ Policy format defines/defined by the PDP implementation
- Secure credentials/ticket format
 - ◆ Standard vs proprietary
- Protocols and Messages format
 - ◆ SOAP + XACML Request/Response
 - ◆ SOAP + SAML + XACML



CNL2 AuthZ policy: Resource, Actions, Subject, Roles

Actions (8)

- StartSession
- StopSession
- JoinSession
- ControlExperiment
- ControlInstrument
- ViewExperiment
- ViewArchive
- AdminTask

Roles (4)

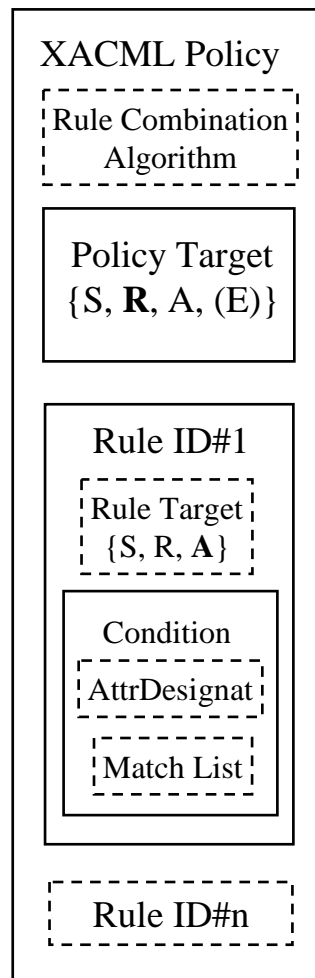
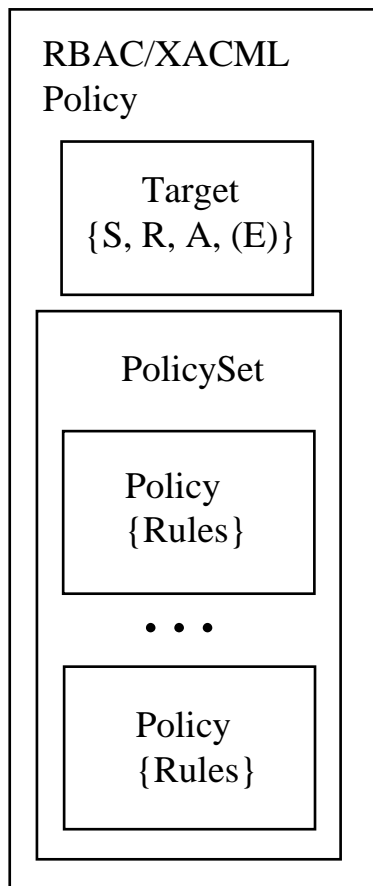
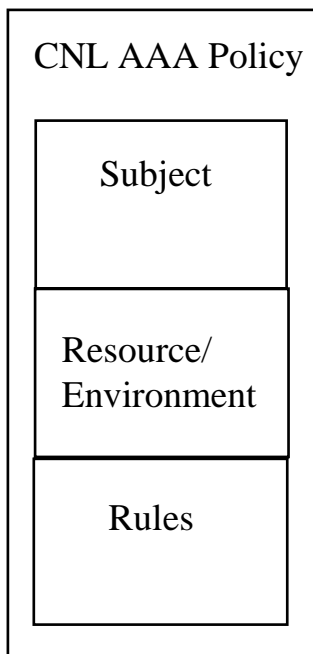
- Analyst
- Customer
- Guest
- Administrator
- (CertifiedAnalyst)

Naming convention

- Resource - “http://resources.collaboratory.nl/Phillips_XPS1”
- Subject – “WHO740@users.collaboratory.nl”
- Roles - “role“ or “role@JobID”



Policy formats: AAA and XACML

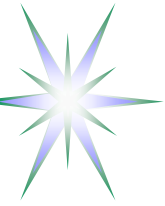




CNL2 AuthZ policy: RBAC using XACML format

Policy generation conventions

- Policy Target is defined for the Resource
- Policy combination algorithm is “ordered-deny-override” or “deny-override”
- Rule Target is defined for the Action and may include Environment checking
 - ◆ Rule’s Condition provides matching of roles which are allowed to perform the Action
- Access rules evaluation
 - ◆ Rules are expressed as permissions to perform an action against Subject role
 - ◆ Rule combination algorithm “permit-override”
 - ◆ Rules effect is “Permit”
- Subject validation – is not supported by current XACML functionality
 - ◆ TODO: add Function or do validation at/by PEP or Context Handler



Simple Access Control table

Roles	Anlyst	Custm	Guest	Admin
ContrExp	1	0	0	0
ContrInstr	1	0	0	1
ViewExp	1	1	1	0
ViewArch	1	1	0	1
AdminTsk	0	0	0	1
StartSession	1	0	0	0
StopSession	1	0	0	1
JoinSession	1	1	1	0

```

<Policy PolicyId="urn:oasis:names:tc:xacml:1.0:cnl2:policy:CNL2:XPSP1" RuleCombiningAlgId="urn:oasis:names:tc:xacml:1.0:rule-combining-
algorithm:deny-overrides">
  <Description>Permit access for CNL2 users with specific roles</Description>
  <Target>
    <Subjects>
      <AnySubject>
        </AnySubject>
      </Subjects>
    </Target>
    <Resources>
      <ResourceMatch MatchId="urn:oasis:names:tc:xacml:1.0:function:anyURI-equal">
        <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#anyURI">http://resources.collaboratory.nl/Phillips_XPSP1</AttributeValue>
        <ResourceAttributeDesignator AttributeId="urn:oasis:names:tc:xacml:1.0:resource:resource-id"
          DataType="http://www.w3.org/2001/XMLSchema#anyURI"/>
      </ResourceMatch>
    </Resources>
    </Target>
    <Actions>
      <AnyAction>
        </AnyAction>
      </Actions>
    </Target>
  </Rule RuleId="urn:oasis:names:tc:xacml:1.0:urn:cnl:policy:urn:oasis:names:tc:xacml:1.0:cnl2:policy:CNL2:XPSP1:rule:ContrExp"
    Effect="Permit">
    <Target>
      <Subjects>
        <AnySubject>
          </AnySubject>
        </Subjects>
      </Subjects>
      <Resources>
        <AnyResource>
          </AnyResource>
        </Resources>
      </Resources>
      <Actions>
        <ActionMatch MatchId="urn:oasis:names:tc:xacml:1.0:function:string-equal">
          <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">ContrExp</AttributeValue>
          <ActionAttributeDesignator AttributeId="urn:oasis:names:tc:xacml:1.0:action:action-id"
            DataType="http://www.w3.org/2001/XMLSchema#string"/>
        </ActionMatch>
      </Actions>
    </Target>
    <Condition FunctionId="urn:oasis:names:tc:xacml:1.0:function:string-at-least-one-member-of">
      <Apply FunctionId="urn:oasis:names:tc:xacml:1.0:function:string-bag">
        <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">analyst</AttributeValue>
      </Apply>
      <SubjectAttributeDesignator AttributeId="urn:oasis:names:tc:xacml:1.0:subject:role" DataType="http://www.w3.org/2001/XMLSchema#string"
        Issue="CNL2AttributeIssuer"/>
    </Condition>
  </Rule RuleId="urn:oasis:names:tc:xacml:1.0:urn:cnl:policy:urn:oasis:names:tc:xacml:1.0:cnl2:policy:CNL2:XPSP1:rule:ContrInst"
    Effect="Permit">
    <Target>
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          </AnySubject>
        </Subjects>
      </Subjects>
      <Resources>
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      <Actions>
        <ActionMatch MatchId="urn:oasis:names:tc:xacml:1.0:function:string-equal">
          <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">ContrInst</AttributeValue>
          <ActionAttributeDesignator AttributeId="urn:oasis:names:tc:xacml:1.0:action:action-id"
            DataType="http://www.w3.org/2001/XMLSchema#string"/>
        </ActionMatch>
      </Actions>
    </Target>
    <Condition FunctionId="urn:oasis:names:tc:xacml:1.0:function:string-at-least-one-member-of">
      <Apply FunctionId="urn:oasis:names:tc:xacml:1.0:function:string-bag">
        <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">analyst</AttributeValue>
        <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">admin</AttributeValue>
      </Apply>
      <SubjectAttributeDesignator AttributeId="urn:oasis:names:tc:xacml:1.0:subject:role" DataType="http://www.w3.org/2001/XMLSchema#string"
        Issue="CNL2AttributeIssuer"/>
    </Condition>
  </Rule RuleId="urn:oasis:names:tc:xacml:1.0:urn:cnl:policy:urn:oasis:names:tc:xacml:1.0:cnl2:policy:CNL2:XPSP1:rule:ViewExp"
    Effect="Permit">
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      </Subjects>
      <Resources>
        <AnyResource>
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        </Resources>
      </Resources>
      <Actions>
        <ActionMatch MatchId="urn:oasis:names:tc:xacml:1.0:function:string-equal">
          <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">ViewExp</AttributeValue>
          <ActionAttributeDesignator AttributeId="urn:oasis:names:tc:xacml:1.0:action:action-id"
            DataType="http://www.w3.org/2001/XMLSchema#string"/>
        </ActionMatch>
      </Actions>
    </Target>
    <Condition FunctionId="urn:oasis:names:tc:xacml:1.0:function:string-at-least-one-member-of">
      <Apply FunctionId="urn:oasis:names:tc:xacml:1.0:function:string-bag">
        <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">analyst</AttributeValue>
        <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">customer</AttributeValue>
        <AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">guest</AttributeValue>
      </Apply>
      <SubjectAttributeDesignator AttributeId="urn:oasis:names:tc:xacml:1.0:subject:role" DataType="http://www.w3.org/2001/XMLSchema#string"
        Issue="CNL2AttributeIssuer"/>
    </Condition>
  </Rule>
</Policy>

```

See XACML policy example =>



XACML Special profiles for RBAC and complex Resources

XACML RBAC profile

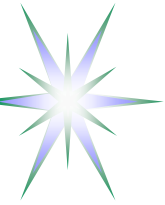
- defines policies that require multiple Subjects and roles combination to access a resource and perform an action
- implements hierarchical RBAC model when some actions require superior subject/role approval to perform a specific action
- can significantly simplify rights delegation inside the group of collaborating entities/subjects

XACML Hierarchical Resource profile

- defines policy format for hierarchically organised resources, e.g. file system or XML-based repositories

XACML complex Resource profile

- allows for complex request to multiple resources having the same request context, however
- evaluation is done and decision is provided per resource



Issues in using XACML and SAML for Authorization

XACML issues/problems

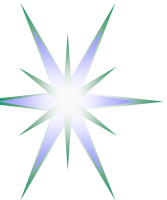
- No mechanisms for authenticity and integrity
- No communication protocol specified
- No AuthZ session management
- Policy doesn't have Subject/Attribute (cryptographic) validation function

SAML issues/problems

- No direct mapping from XACML Authz decision to SAML AuthzStatement
- Full AuthZ Assertion is not elegant

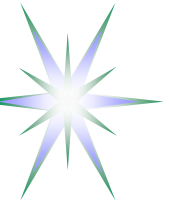
Common SAML and XACML issues

- Complex in implementation
- Require separate key/trust management support
- Require application/community specific attribute namespace definition

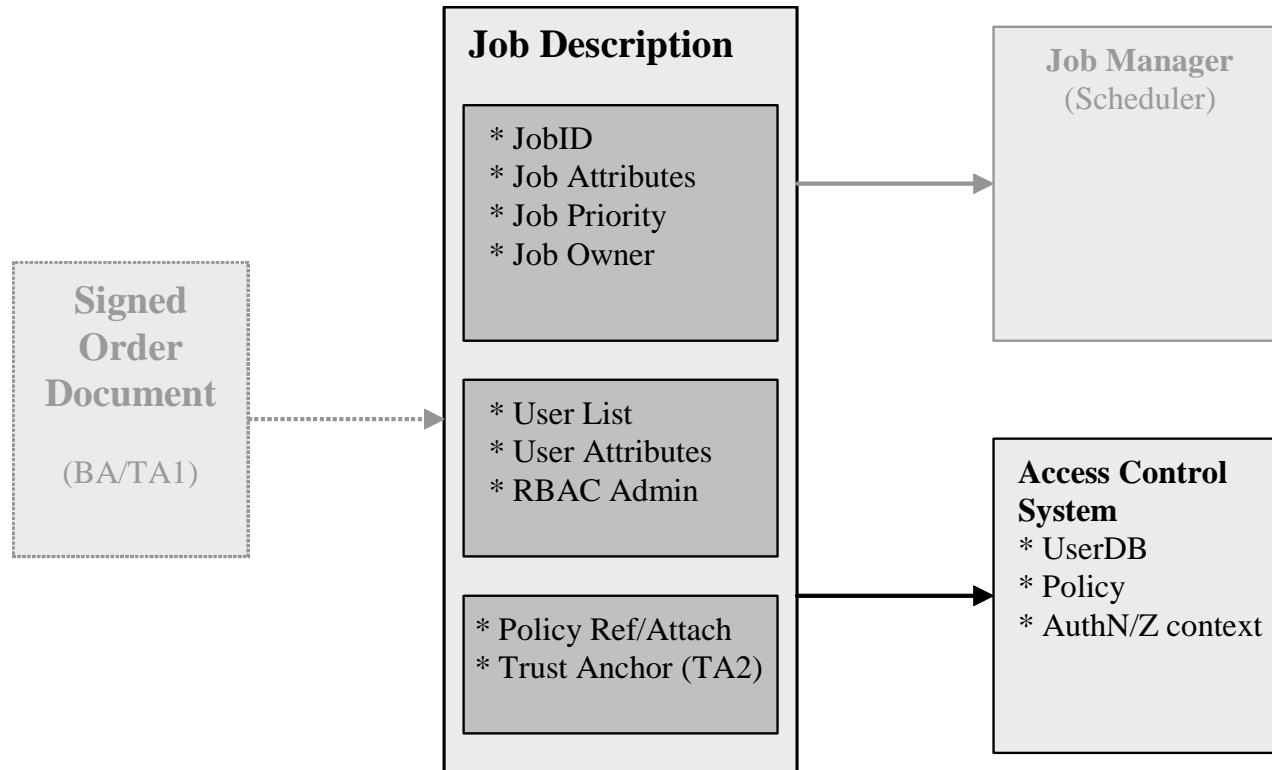


CNL3: Building integrated manageable Access Control Infrastructure

- Needs for central/integration point
 - ◆ Business Agreement, or
 - ◆ Experiment, or
 - ◆ Job
- To allow integration of all security entities and components during the whole experiment lifetime
 - ◆ Users (and resources)
 - ◆ Policy
 - ◆ Trust
 - ◆ Execution environment and security context



CNL2 Security built around Job description



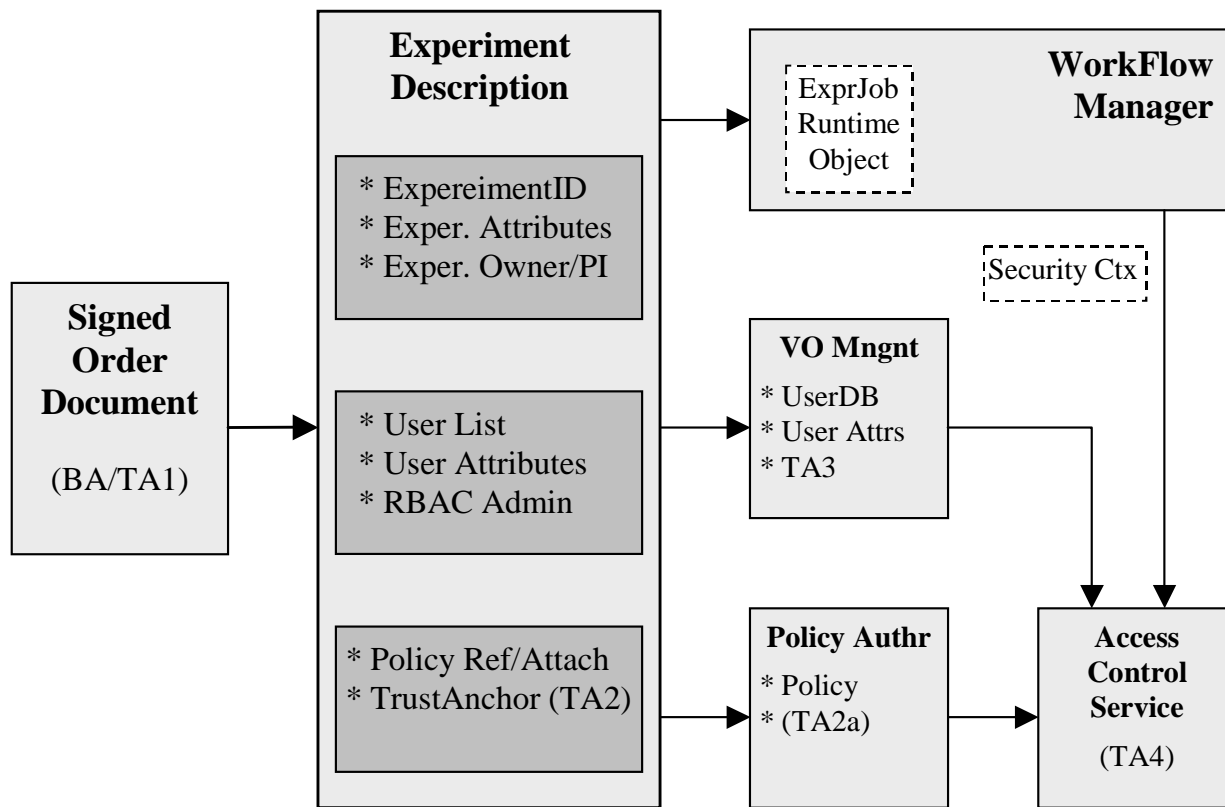
Job Description as a semantic object defining Job attributes and User attributes

- Requires document based or semantic oriented Security paradigm

Trust domain based on Business Agreement (BA) or Trust Agreement (TA) based on PKI



Re-designing to Experiment-centric model in GCE/CNL integrated with the workflow management

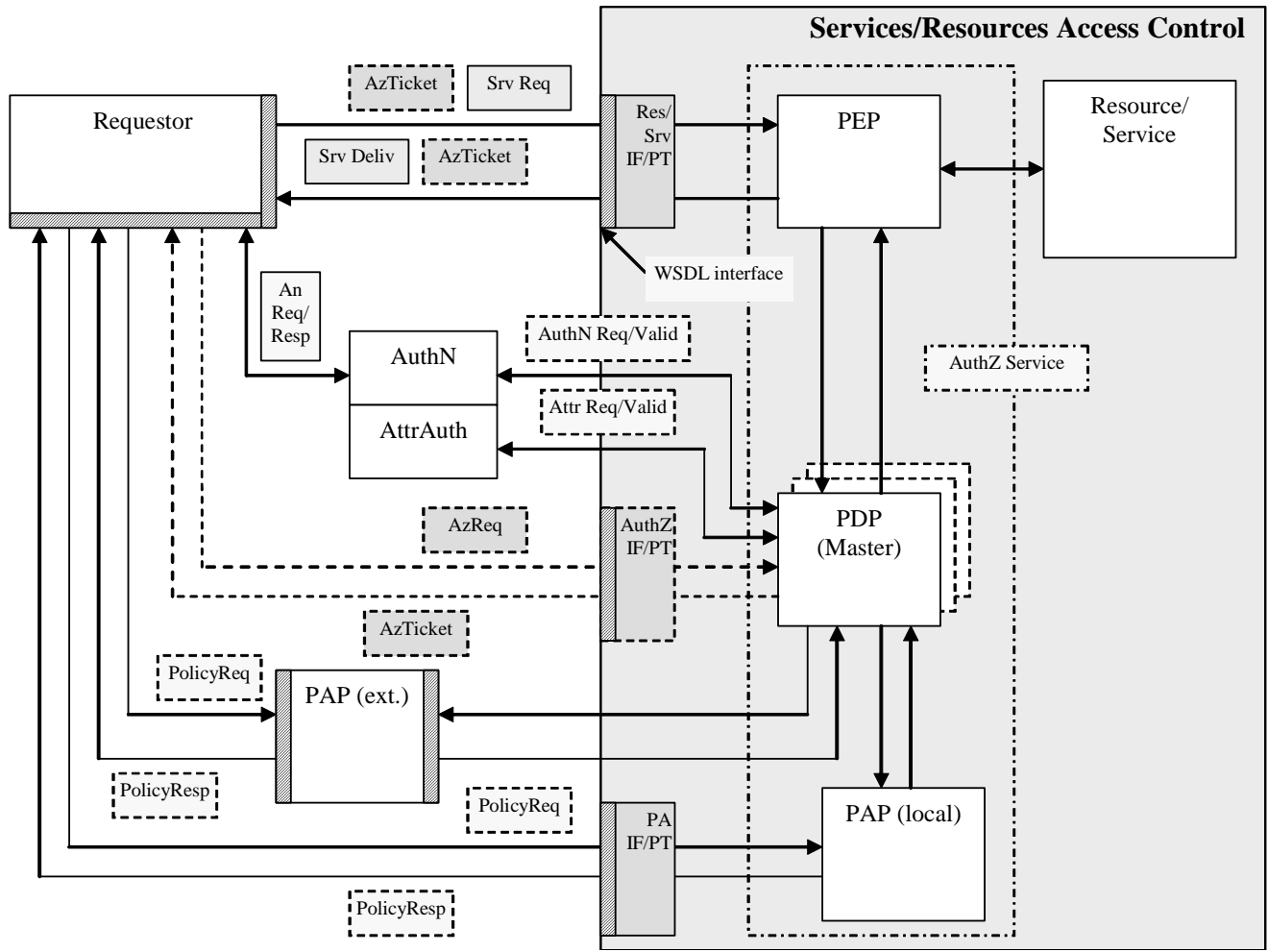


Experiment Description as a semantic object defining attributes for the workflow/job, user association in a form of VO, access control policy

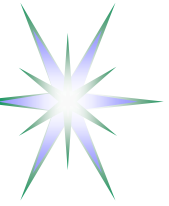
Trust domain based on Business Agreement (BA) or Trust Agreement (TA)



Moving to Grid/Web Service platform



- Linking dynamically all components of the access control system
- Policy is attached to any component of the service description in WSDL format
- Interacting services will fetch policy document and apply restrictions/rules to elements, which declared policy compliance requirements
- Provides a basis for mutual authorisation



Traditional Access Control model – setting up trust and authority relations

- Policy, attributes semantics and namespaces are known a priori to all participating parties
 - A requestor knows what information to present to adhere to a specific policy and in what format
- PEP and PDP locations are known and interacting parties are known
- Trust relations between PDP, AA and resource are established
 - Resource trusts PDP's decision that can be delivered to a Resource in a form of AuthzTicket or based on default trust between PEP and Resource
 - Root of policy enforcement hierarchy, like in real life, belongs to the resource owner
- This approach is not sufficient for emerging Service Oriented Architecture (SOA) based on Grid and Web Services

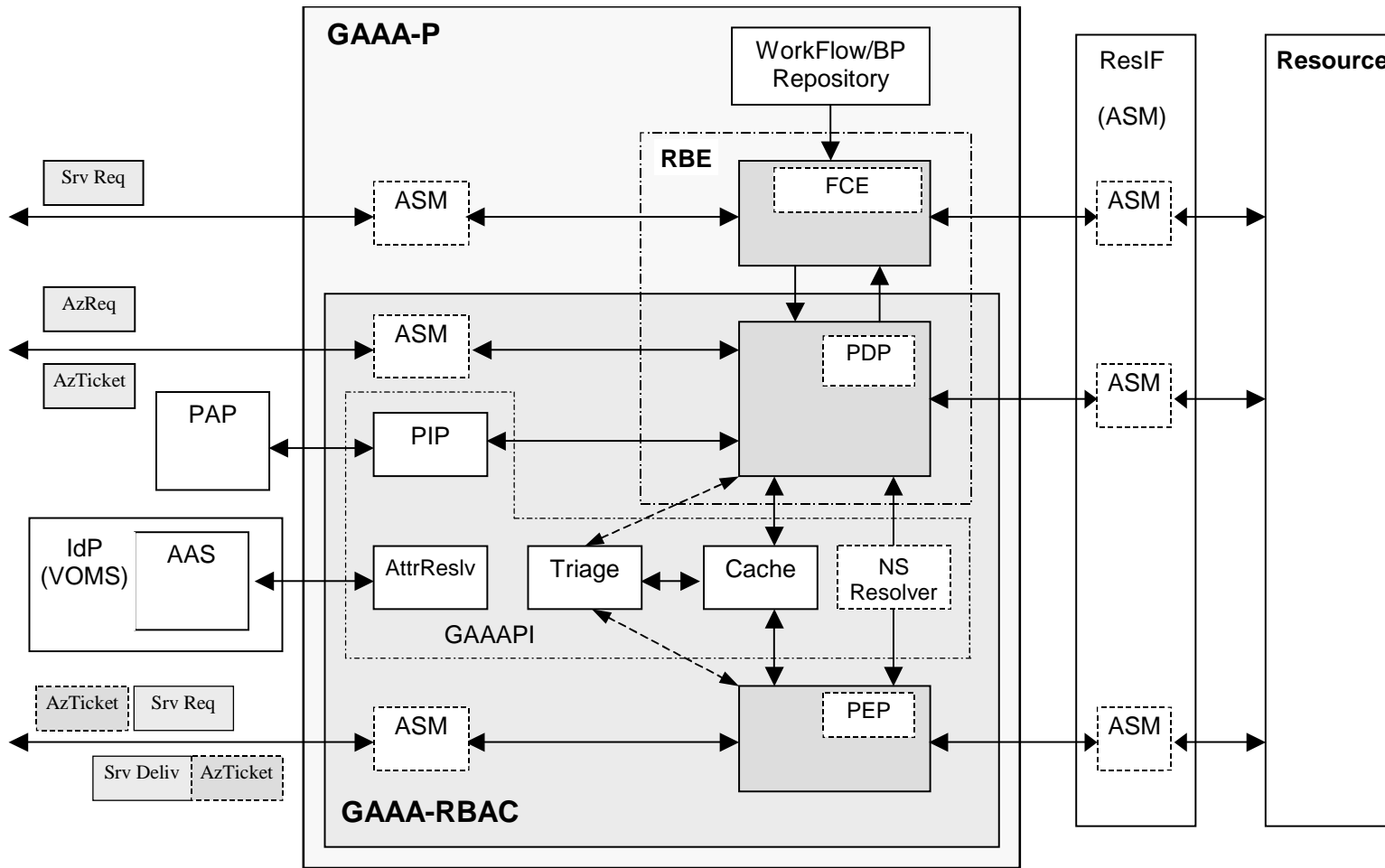


Implementation suggestions for GCE/CNL

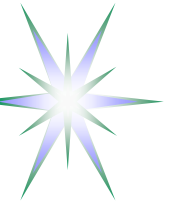
- PDP and PAP must share common namespace
- Policy and respectively PAP should be referenced in the request message explicitly or known to PEP and PDP a priory
- Every PEP in the chain of policy enforcement should take care of the whole request evaluation/enforcement by calling to a single (master) PDP.
 - ◆ PEP should not do multiple decision combination.
- Only one PDP should provide a final decision on the whole request
 - ◆ However, PEP may have a possibility to request different PDP types based on request semantics/namespace and referred policy
- When using ticket/token based access control model, the PEP should understand and have a possibility to validate the AuthZ ticket issued by trusted PDP
 - ◆ The AuthZ ticket should have validity and usage restriction and contain information about the decision and the resource.
- For the further validation of the AuthZ tickets/token, the PEP may cache the ticket locally to speed-up the validation procedure.



GAAA_tk profiles: GAAA-RBAC and GAAA-P

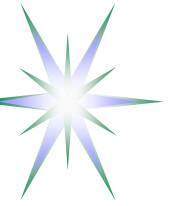


- Profiles
- GT4/gLite integration
- Trust domains configuration
- AuthZ Session management
- AuthZ ticket and token format



GAAA-P and GAAA-RBAC profiles

- Rule Based Engine (RBE) consists of PDP for individual policies evaluation and FCE to control sequence of policies evaluation and decision enforcement
- GAAAPI provides all necessary functionality for communication between PEP and PDP and providing security context for service request evaluation
 - ◆ Namespace resolver to define/resolve what policy and what attributes should be used for the request evaluation
 - ◆ Triage and Cache that provide initial evaluation of the request including validity of provided credentials
 - used also for AuthZ tickets/tokens handling and AuthZ session management
 - ◆ Attribute resolver and Policy Information Point (PIP) provide resolution and call-outs to related authoritative Policy Authority Points (PAP) and Attribute Authority Service (AAS)



GAAA_tk: Integration with GT4/gLite AuthZ framework

GT4 Authorisation Frameworks provides access control for Grid services

- Can be applied at the level of container, service, or resource/application
- Implemented access control PDP's
 - ◆ Access Control Lists (ACL), gridmap file, identity or host based, simple XACML based PDP
- external policy evaluation callouts using OGSA Authorisation PortType
- Support for different types of secure credentials
 - ◆ X.509 Proxy and Attribute Certificates, VOMS credentials
- Support for WS-Trust based secure communication

gLite security middleware uses the GT4-AuthZ with some specific extensions

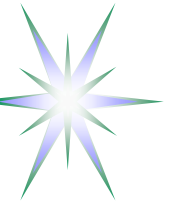
- Provides VOMS credentials handing

Suggested GAAA_tk contribution

- Complex XACML policies evaluation
- Authorisation tickets and tokens handling (application/service level)
- Flexible request semantics and trust domains configurations and management

Integration can be done in three ways

- (1) using GT4 WS/messaging middleware to provide WS-based access to GAAA_tk authorisation service to allow easy GAAA_tk integration into different applications;
- (2) adding GAAA AuthZ callouts to GT4 AuthZ framework;
- (3) integrating GAAA AuthZ PDP/GAAAPI into GT4-AuthZ as one of internal PDP's.



Security Configuration Parameters

Key store location and access

- keystoreType = "JKS"
- keystoreFile = LOCAL_DIR_KEYSTORE + "keystore5cnlsec.jks"
- keystorePass = "*****"
- trustedstoreFile = LOCAL_DIR_KEYSTORE_TRUSTED + "keystore5cnltrusted.jks"
- trustedstorePass = "*****"

Trusted and local keys/credentials for PEP trust domain (Certs are selfsigned)

- peprivKalias = "cnl_peg"
- peppubKalias = "cnl_peg"
- peprivKpass = "Trust:peg"
- pdppubKalias = "cnl_aaapdp"

Trusted sites or authorities

- trustedAuth = "cnl-trust.xml" // similar to and to be compatible with Shibboleth

AuthzTicket authority

- tickauth = (tickauthPDP | tickauthPEP)



GAAA-RBAC Trust Domains Configuration

Options for trust domains configuration depend on possible PEP and PDP location:

- PEP is protecting Resource, and therefore should be located in the Resource trust domain
- PDP may be remote, in this case communication between PEP and PDP must be protected cryptographically

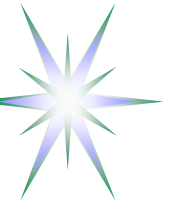
Trust domain identifiers:

- TRUSTDOMAIN_PEP = "urn:cnl:trust:pep";
- TRUSTDOMAIN_PDP = "urn:cnl:trust:pdp";
- TRUSTDOMAIN_PEP_PDP = "urn:cnl:trust:pep-pdp";

Authorities identifiers:

- TICKETAUTHORITY_PEP = "urn:cnl:trust:tickauth:pep";
- TICKETAUTHORITY_PDP = "urn:cnl:trust:tickauth:pdp";

Note: Current implementation is in class ConfigTrustDomains for debugging/demo purposes



GAAA-RBAC security related directories configuration

Configuration directories

```
LOCAL_DIR_ROOT = ""
```

```
LOCAL_DIR_KEYSTORE_CNLSEC = LOCAL_DIR_ROOT +  
"data/keystore/cnlsec/"
```

```
LOCAL_DIR_KEYSTORE_TRUSTED = LOCAL_DIR_ROOT +  
"data/keystore/trusted/"
```

```
LOCAL_DIR_SYMKEYSTORE = LOCAL_DIR_ROOT +  
"data/keystore/cnlsec/symkeystore/"
```

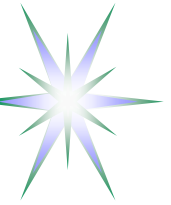
```
LOCAL_DIR_SCHEMAS = LOCAL_DIR_ROOT + "data/schemas/"
```

Temporal directory and cache -> *to be re-designed*

```
LOCAL_DIR_AAADATA_CACHE_AZTICKETS = LOCAL_DIR_ROOT +  
"_aaadata/cache/aztickets/"
```

```
LOCAL_DIR_AAADATA_TMP = LOCAL_DIR_ROOT + "_aaadata/tmp/"
```

Note. Currently configured in ConfigCNLSecurity class

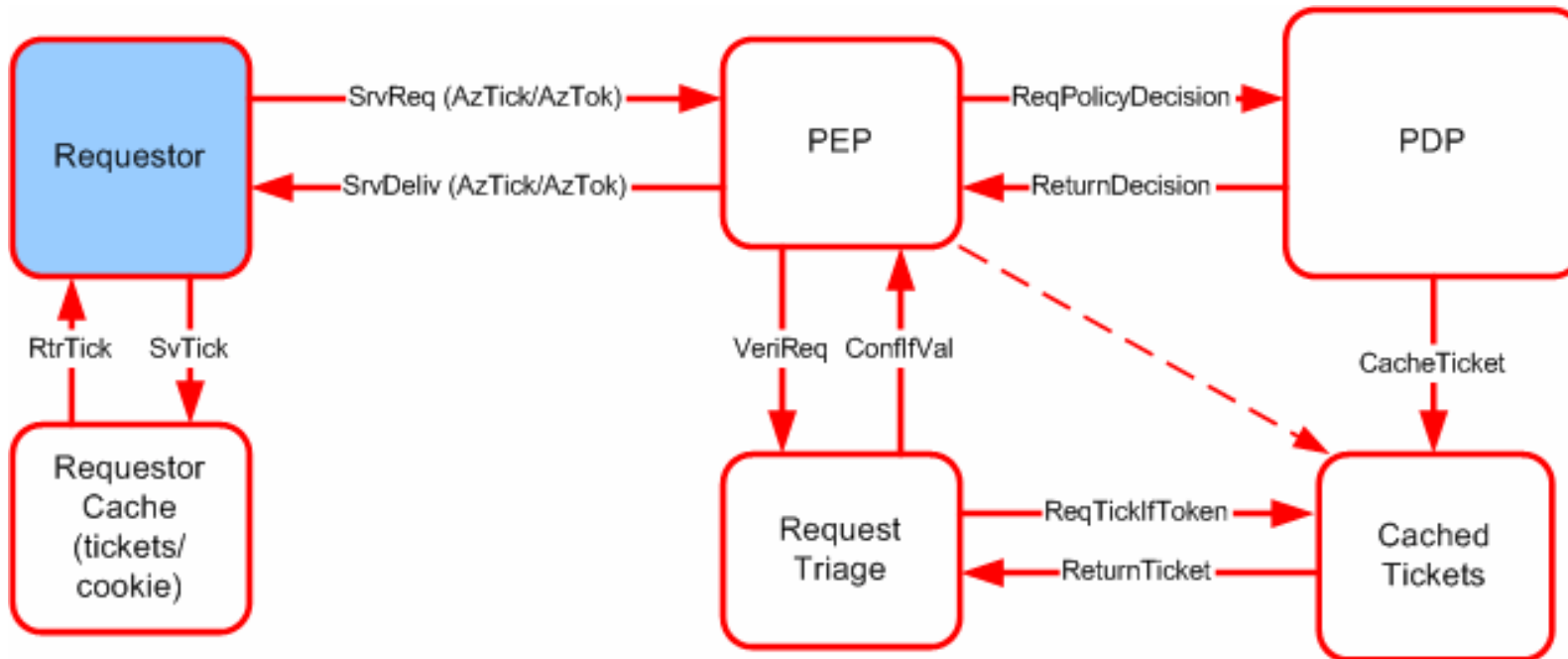


Session management in GAAA-RBAC

- Maintaining session is a part of generic RBAC functionality
- Session can be started only by authorised Subject/Role
 - ◆ Session can be joined by other less privileged users
- SessionID is included into AuthzTicket together with other decision attributes
 - ◆ Signed AuthzTicket is cached by PEP or PDP
- If session is terminated, cached AuthzTicket is deleted
 - ◆ Note: AuthzTicket revocation should be done globally for the AuthZ trust domain



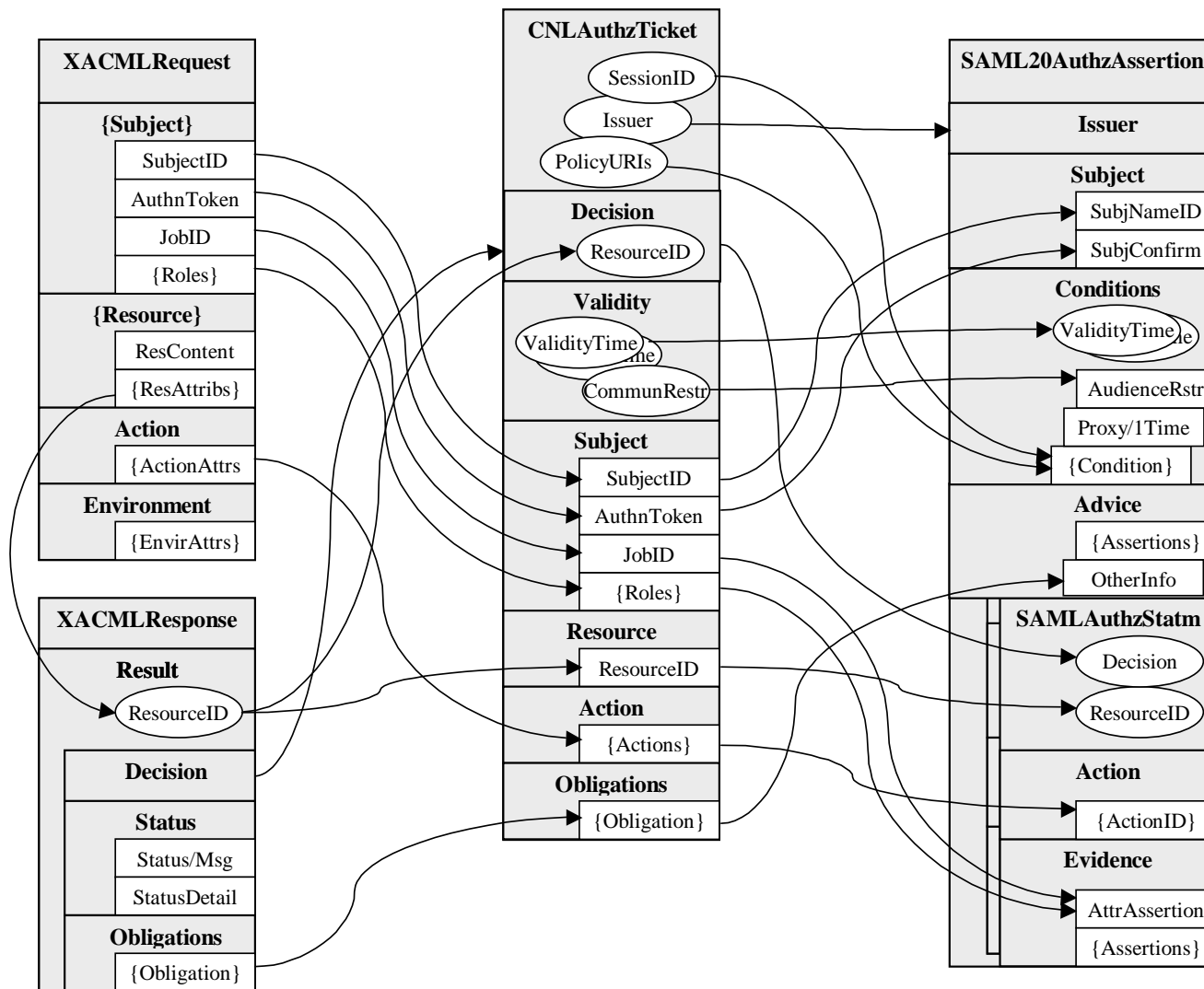
Tickets/Tokens handling in AuthZ system

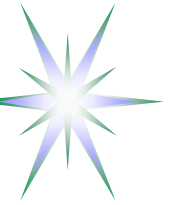


- AuthzTicket is issued by PDP and may be issued by PEP
- AuthzTicket must be signed
- AuthzTicket contains all necessary information to make local PEP-Triage Request verification
- When using AuthzTokens, AuthzTickets must be cached; Resolution mechanism from token to ticket must be provided



Mapping between CNLAuthzTicket, XACML Request/Response and SAML Authorization Assertion





Using SAML 1.1/2.0 for AuthzTicket expression

SAML 2.0 vs SAML 1.1

- Better security features
- Issuer and Subject are top level elements
- Encrypted elements for Subject, Attributes, Evidence
- Special profile for XACMLAuthzStatement
- Support for Identity delegation

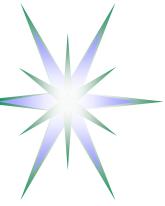
General problems for Authorisation assertion

- Attributes can be placed only as deep as 5 level down:
Assertion/AuthzStatement/Evidence/AttributeAssertion/Attribute/AttributeValue
- Ambiguous location for PolicyURIs and SessionID
- Ambiguous mapping for XACML/Obligation to SAML/(Condition or Advice)
- SAML1.1 ConfirmationData element is an extensible type – compatibility problems
- XACML Obligation element
 - ◆ Can be mapped to SAML Condition element or SAML Advice element



CNLAAuthzTicket example – 1011 bytes

```
<cnl:CNLAAuthzTicket xmlns:AAA="http://www.AAAarch.org/ns/AAA_BoD"
  xmlns:cnl="http://www.aaauthreach.org/ns/#CNL"
  Issuer="http://www.AAAarch.org/servers/AAA" PolicyURIs="CNLpolicy01"
  SessionIndex="JobXPS1-2005-001" TicketID="c24d2c7dba476041b7853e63689193ad">
  <!-- Mandatory elements -->
  <cnl:Decision
  ResourceID="http://resources.collaboratory.nl/Philips_XPS1">Permit</cnl:Decision>
  <cnl:Validity NotBefore="2005-02-13T01:26:42.699Z" NotOnOrAfter="2005-02-
  14T01:26:42.699Z"/>
  <!-- Additional elements -->
  <cnl:Subject Id="subject">
    <cnl:SubjectID>WHO740@users.collaboratory.nl</cnl:SubjectID>
    <cnl:SubjectConfirmationData>SeDFGVHYTY83ZXxEdsweOP8Iok
      </cnl:SubjectConfirmationData>
    <cnl:JobID>CNL2-XPS1-2005-02-02</cnl:JobID>
    <cnl:Role>analyst@JobID;expert@JobID</cnl:Role>
  </cnl:Subject>
  <cnl:Resource>http://resources.collaboratory.nl/Philips_XPS1</cnl:Resource>
  <cnl:Actions>
    <cnl:Action>cnl:actions:CtrlInstr</cnl:Action>
    <cnl:Action>cnl:actions:CtrlExper</cnl:Action>
  </cnl:Actions>
  <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#"> ... </ds:Signature>
</cnl:CNLAAuthzTicket>
```



CNLAUTHZToken example – 293 bytes

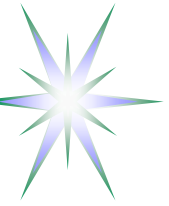
```
<cnl:CNLAUTHZToken TokenID="c24d2c7dba476041b7853e63689193ad">  
<cnl:TokenValue>  
0IZt9WsJT6an+tIxhhTPtiztDpZ+iynx7K7X2Cxd2iBwCUTQ0n61Szv81DK1lWsQ75IsHfusnm56  
zT3fhKU1zEUsob7p6oMLM7hb42+vjfvNeJu2roknhIDzruMrr6hMDsIfaotURepu7QCT0sADm9If  
X89Et55EkSE9oE9qBD8=  
</cnl:TokenValue>  
</cnl:CNLAUTHZToken>
```

- CNLAUTHZToken is constructed of the CNLAUTHZTicket TicketID and SignatureValue
- CNLAUTHZToken use suggests caching CNLAUTHZTicket



Summary - Future developments

- Common policy expression and evaluation in XACML
 - ◆ Provide support for special XACML policy profiles
- GAAA-RBAC/GAAA_tk integration with existing access control tools
 - ◆ GT4 Authorization Framework -
<http://www.globus.org/toolkit/docs/4.0/security/authzframe/>
 - ◆ (Optionally) EGEE gLite Authorisation Framework
- <http://glite.web.cern.ch/glite/security/>
- Adding support for VOMS credentials – to allow VO-based user and resource attributes management
- Extending GAAA_tk to support different credentials format and callouts

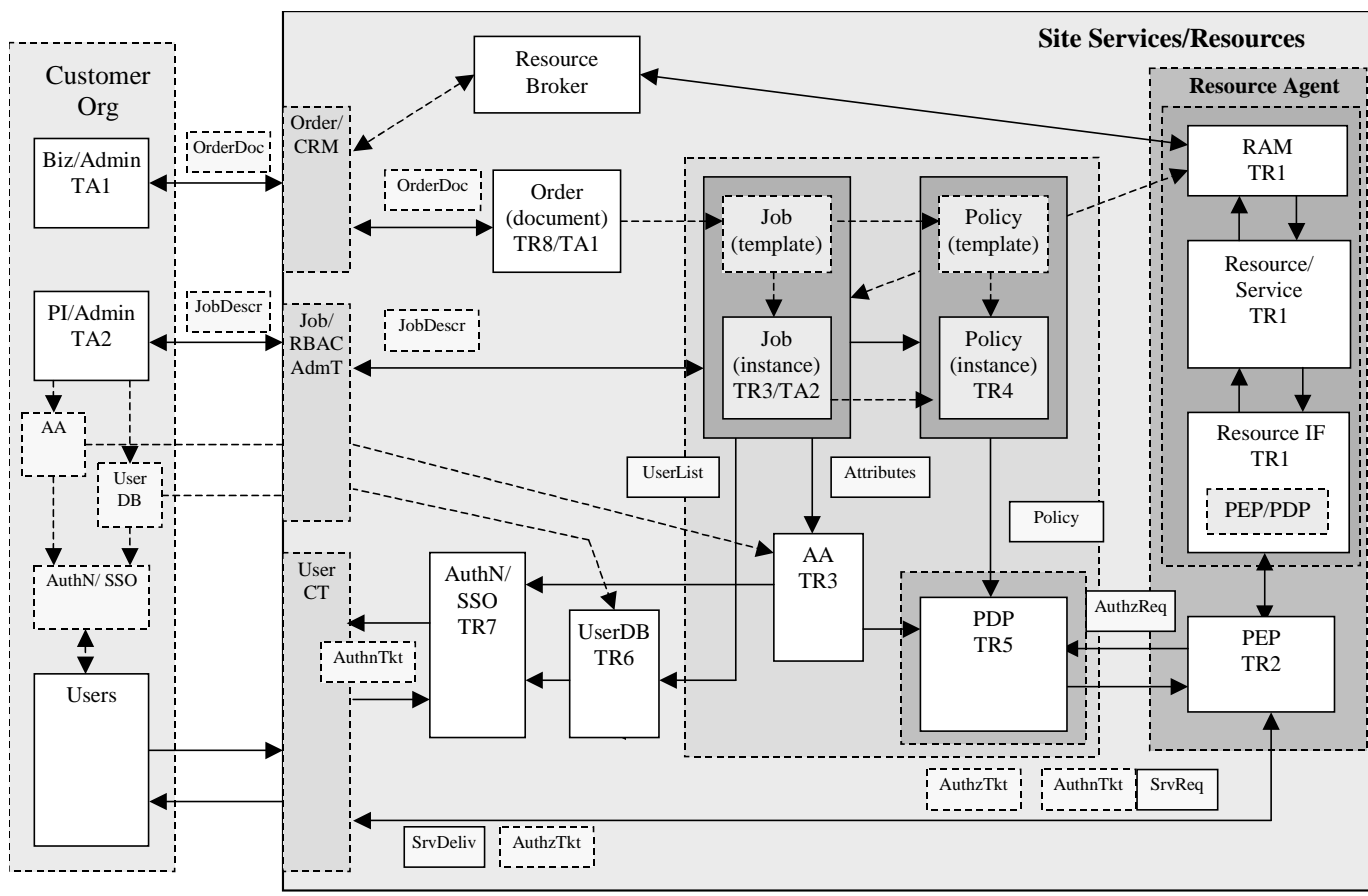


Additional information

- Generic AAA Architecture and RBAC model
- Interacting components and entities in the Job-centric security model
- XACML AuthZ Request and Response messages format and example
- Detailed AuthZ and AuthN ticket and token examples



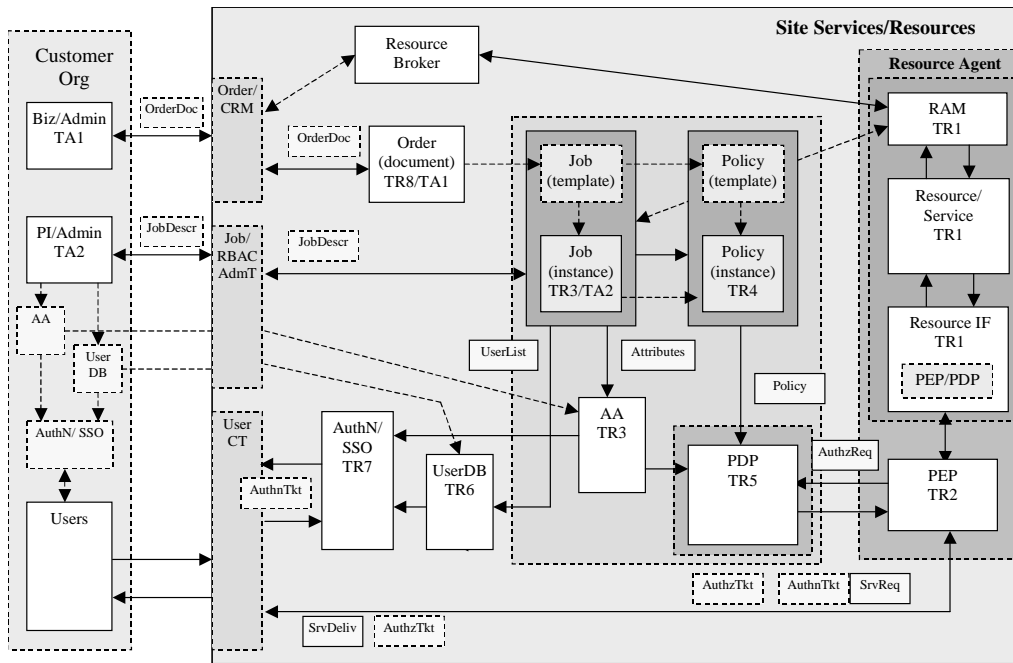
Major interacting components and entities in the Job-centric security model



TA – Trust Anchor; TR# - trust path from root (resource); RAM – Resource Allocation and Management; UserCT – User Collaborative Tools



Trust relations in distributed access control infrastructure



Trust/credentials chain and delegation between major modules:

```

User =>
=> HomeOrg.staff(TA2)
=> Job.members
=> Member.roles
=> Role.permissions

```

Obtaining required permissions to perform requested action by the user:

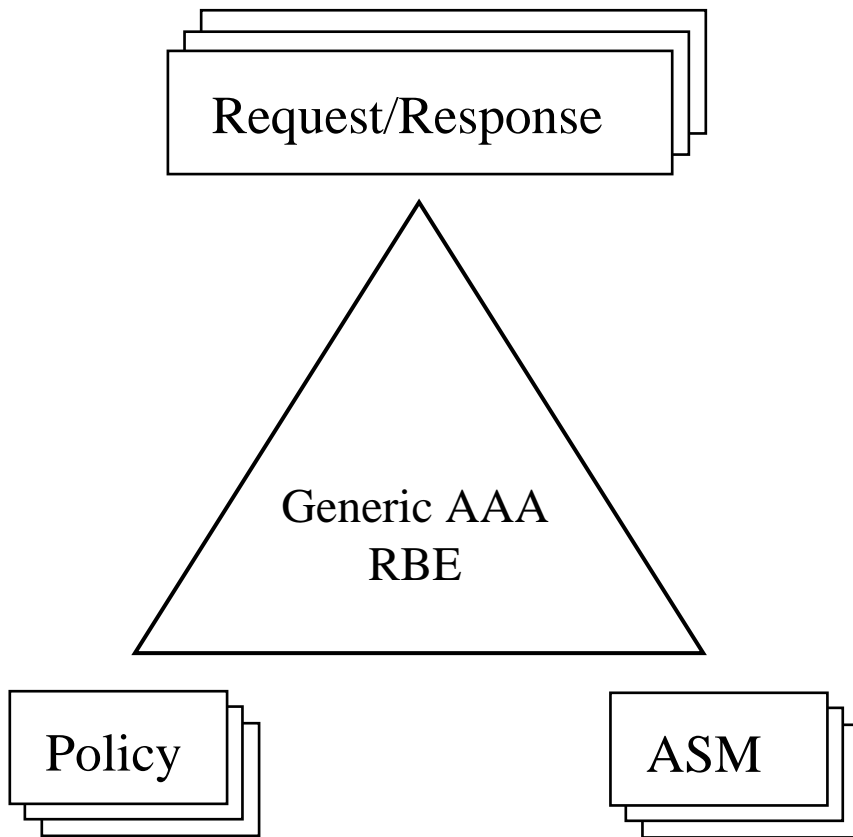
```

User => AuthN(HomeOrg.staff(TA2), Job.members) =>
=> AuthZ(Member.roles, Policy.permissions) =>
=> Resource.permissions

```



(1) Generic AAA Architecture by AIRG (UvA)



Policy based Authorization decision

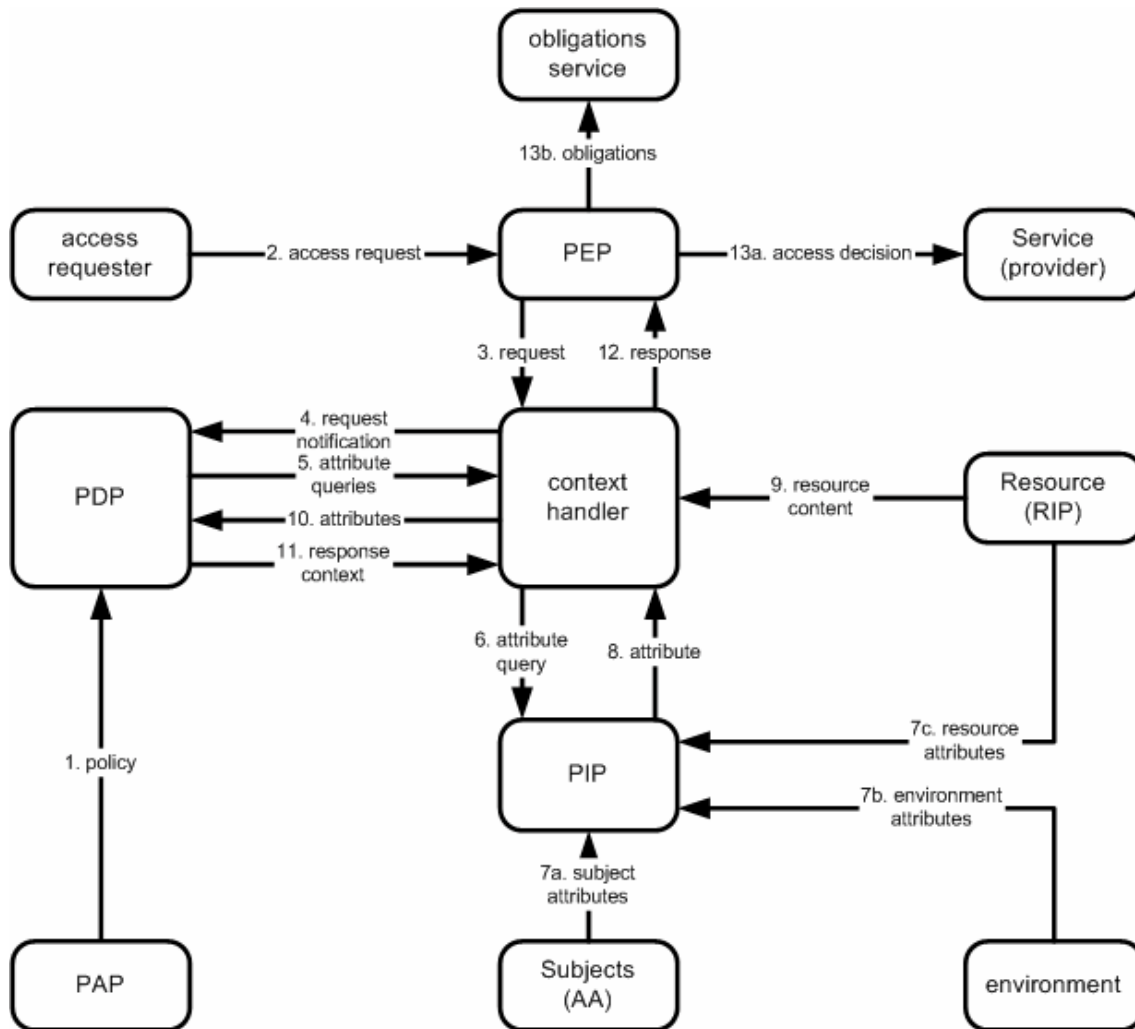
- Req {AuthNtoken, Attr/Roles, PolicyTypeId, ConditionExt}
- RBE (Req + Policy) => => Decision {ResponseAAA, ActionExt}
- ActionExt = {ReqAAExt, ASMcontrol}
- ResponseAAA = {AckAAA/RejectAAA, ReqAttr, ReqAuthN, BindAAA (Resource, Id/Attr)}

•Defined by
Resource owner

•Translate logDecision => Action
•Translate State => LogCondition



(2) RBAC: main components and dataflow – XACML model



PEP/AEF - Policy Enforcement Point (authorisation enforcement function)

PDP/ADF - Policy Decision Point (authorisation decision function)

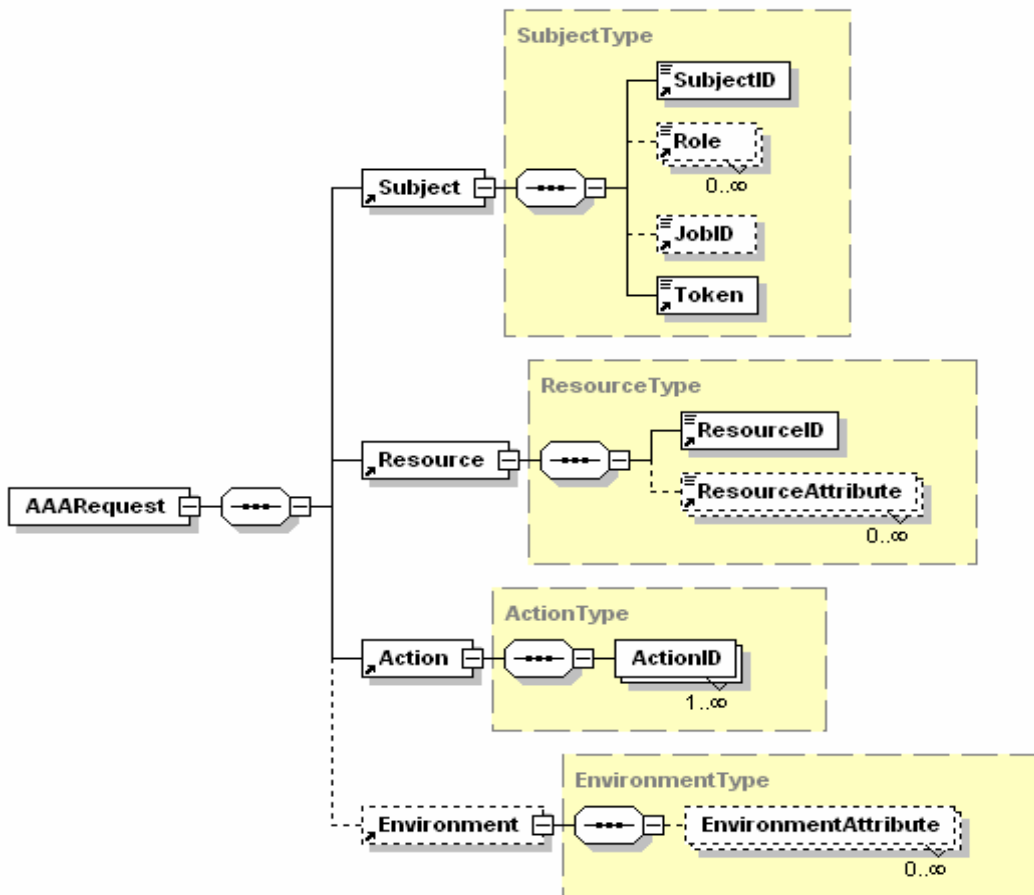
PIP - Policy Information Point

AA - Attribute Authority

PAP - Policy Authority Point



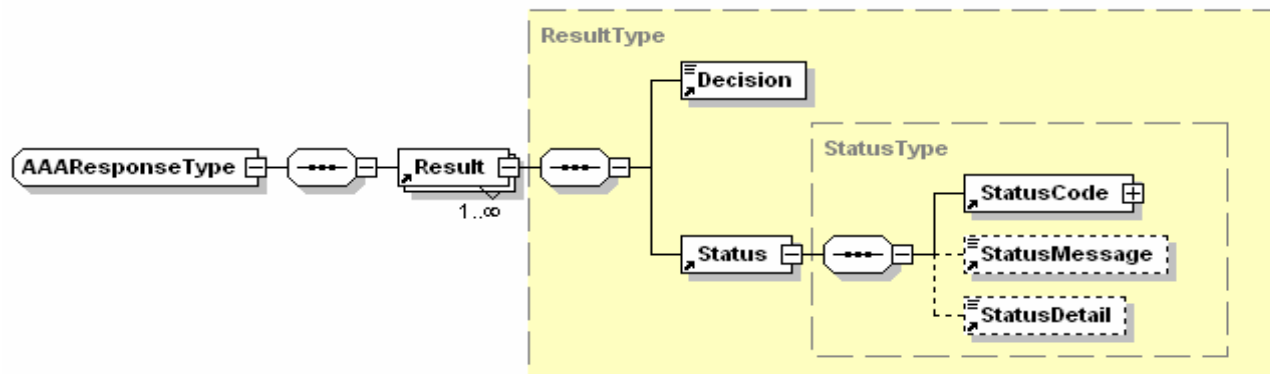
GAAAPI implementation – XACML Request message format



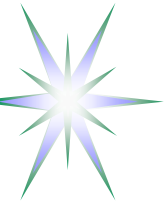
```
<?xml version="1.0" encoding="UTF-8"?>
<AAA:AAARequest
  xmlns:AAA="http://www.AAA.org/ns/AAA_BoD"
  xsi:schemaLocation="http://www.AAA.org/ns/AAA_BoD
  http://146.50.22.64/CNLdemo1.xsd"
  version="0.1" type="CNLdemo1">
  <Subject>
    <SubjectID>
      WHO740@users.collaboratory.nl</SubjectID>
    <Token>
      2SeDFGVHYTY83ZXxEdsweOP8Iok)yGHxVfHom90
    </Token>
    <JobID>JobID-XPS1-212</JobID>
    <Role>Analyst@JobID</Role>
  </Subject>
  <Resource>
    <ResourceID>
      http://resources.collaboratory.nl/Phillips_XPS1
    </ResourceID>
  </Resource>
  <Action>
    <AttributeID>ControlInstrument</AttributeID>
  </Action>
</AAA:AAARequest>
```



GAAAPI implementation – XACML Response message format



```
<?xml version="1.0" encoding="UTF-8"?>
<AAA:AAAResponse xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:noNamespaceSchemaLocation="aaa-cn1-response-00.xsd" version="0.0">
  <Result ResourceId="http://resources.collaboratory.nl/Phillips_XPS1">
    <Decision>Permit</Decision>
    <Status>
      <StatusCode Value="OK"/>
      <StatusMessage>Request successful</StatusMessage>
    </Status>
  </Result>
</AAA:AAAResponse>
```



CNLAAuthzTicket example – 1011 bytes

```
<cnl:CNLAAuthzTicket xmlns:AAA="http://www.AAAarch.org/ns/AAA_BoD"
  xmlns:cnl="http://www.aaauthreach.org/ns/#CNL" Issuer="http://www.AAAarch.org/servers/AAA"
  PolicyURIs="CNLpolicy01" SessionIndex="JobXPS1-2005-001"
TicketID="c24d2c7dba476041b7853e63689193ad">
  <!-- Mandatory elements -->
  <cnl:Decision
    ResourceID="http://resources.collaboratory.nl/Philips_XPS1">Permit</cnl:Decision>
  <cnl:Validity NotBefore="2005-02-13T01:26:42.699Z" NotOnOrAfter="2005-02-
    14T01:26:42.699Z"/>
  <!-- Additional elements -->
  <cnl:Subject Id="subject">
    <cnl:SubjectID>WHO740@users.collaboratory.nl</cnl:SubjectID>
    <cnl:SubjectConfirmationData>SeDFGVHYTY83ZXxEdsweOP8Iok</cnl:SubjectConfirmationData>
    <cnl:JobID>CNL2-XPS1-2005-02-02</cnl:JobID>
    <cnl:Role>analyst@JobID;expert@JobID</cnl:Role>
  </cnl:Subject>
  <cnl:Resource>http://resources.collaboratory.nl/Philips_XPS1</cnl:Resource>
  <cnl:Actions>
    <cnl:Action>cnl:actions:CtrlInstr</cnl:Action>
    <cnl:Action>cnl:actions:CtrlExper</cnl:Action>
  </cnl:Actions>
  <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#"> ... </ds:Signature>
</cnl:CNLAAuthzTicket>
```




CNLAAuthzTicket XML Signature element – 957 bytes (total signed ticket 1968 bytes)

```
<ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
  <ds:SignedInfo>
    <ds:CanonicalizationMethod Algorithm="http://www.w3.org/TR/2001/REC-xml-c14n-20010315"/>
    <ds:SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1"/>
    <ds:Reference URI="">
      <ds:Transforms>
        <ds:Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature"/>
        <ds:Transform Algorithm="http://www.w3.org/TR/2001/REC-xml-c14n-
20010315#WithComments"/>
      </ds:Transforms>
      <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
      <ds:DigestValue>nrNrZZDiw/2aDnKXFEHSeoixnsc=</ds:DigestValue>
    </ds:Reference>
  </ds:SignedInfo>
  <ds:SignatureValue>
0IZt9WsJT6an+tIxhhTPtiztDpZ+iynx7K7X2Cxd2iBwCUTQ0n61Szv81DK1lWsQ75Ishfusnm56
zT3fhKUlzEUsob7p6oMLM7hb42+vjfvNeJu2roknhIDzruMrr6hMDsIfaotURepu7QCT0sAdm9If
X89Et55EkSE9oE9qBD8=
  </ds:SignatureValue>

  <ds:KeyInfo> << ... snip ... >> </ds:KeyInfo>

</ds:Signature>
```



RSA <ds:KeyInfo> element – 1010 bytes (total signed ticket with KeyInfo - 3078 bytes)

```
<ds:KeyInfo>
  <ds:X509Data>
    <ds:X509Certificate>
      MIICADCCAWkCBEGX/FYwDQYJKoZIhvcNAQEEBQAwrzELMAkGA1UEBhMCTkwxGTAXBgNVBAoTEENv
      bGxhYm9yYXRvcnkubmwxHTAbBgNVBAMTFEFBQXV0aHJlYWNoIFNlY3VyaXR5MB4XDTA0MTEExNTAw
      NDYxNFoXDTA1MDIxMzAwNDYxNFowRzELMAkGA1UEBhMCTkwxGTAXBgNVBAoTEENvbGxhYm9yYXRv
      cnkubmwxHTAbBgNVBAMTFEFBQXV0aHJlYWNoIFNlY3VyaXR5MIGfMA0GCSqGSIb3DQEBAQUAA4GN
      ADCBiQKBgQDdDrBhVmr1nD9eqi7U7m4yjIRxfvjAKv33EpuajvTKHpKUGLjbcBC3jNJ4F7a0GiXQ
      cVbuF/aDx/ydIUJXQktvFxK0Sm77WVeSel0cLc1hYfUSAg4mudtfsB7rAj+CzNnVdr6RLFpS9YFE
      lv5ptGaNGSbwHjU02HnArEGL2K+0AwIDAQABMA0GCSqGSIb3DQEBAUAA4GBADHKqkOW4mP9DvOi
      bMvf4oqXTth7yv8o3Zol7+nq1B9Tqf/bvNLMk8vNo5fWRHbpnHIFfGtK31nrJf8kEZEofvwAeW9s
      1gQtYfsloxvsMPKHxFjJDiZlLkHRViJl/slz5a7pkLqIXLRsPFRziTksemRXB/fT8KDzM14pzQZg
      HicO
    </ds:X509Certificate>
  </ds:X509Data>
  <ds:KeyValue>
    <ds:RSAKeyValue>
      <ds:Modulus>
        3Q6wYVZq9Zw/Xqou105uMoyEcX74wCr99xKbmo70yh6SlIC423AQt4zSeBe2tBo10HFW7hf2g8f8
        nSFCV0JLbxcStEpu+1lXknpdHC3NYWH1EgIOJrnbX7Ae6wI/gszZ1Xa+kSxaUvWBRJb+abRmjRkm
        8B4lNNh5wKxBi9ivtAM=
      </ds:Modulus>
      <ds:Exponent>AQAB</ds:Exponent>
    </ds:RSAKeyValue>
  </ds:KeyValue>
</ds:KeyInfo>
```



CNLAAuthzToken example – 293 bytes

```
<cnl:CNLAAuthzToken TokenID="c24d2c7dba476041b7853e63689193ad">  
<cnl:TokenValue>  
0IZt9WsJT6an+tIxhhTPtiztDpZ+iynx7K7X2Cxd2iBwCUTQ0n61Szv81DKllWsq75IsHfusnm56  
zT3fhKU1zEUsob7p6oMLM7hb42+vjfvNeJu2roknhIDzruMrr6hMDSIfaotURepu7QCT0sADm9If  
X89Et55EkSE9oE9qBD8=  
</cnl:TokenValue>  
</cnl:CNLAAuthzToken>
```

CNLAAuthzToken is constructed of the CNLAAuthzTicket TicketID and SignatureValue
CNLAAuthzToken use suggests caching CNLAAuthzTicket's



CNLSAMLAUTHzTicket example – 2254 bytes

```
<Assertion xmlns="urn:oasis:names:tc:SAML:1.0:assertion" xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion"
  xmlns:sampl="urn:oasis:names:tc:SAML:1.0:protocol" AssertionID="c236b047d62db5cecec6b240996bcb90" IssueInstant="2005-02-
  15T14:53:23.542Z" Issuer="cnl:subject:CNLAAAauthority" Version="1.1">
  <Conditions NotBefore="2005-02-16T14:32:12.506Z" NotOnOrAfter="2005-02-17T14:32:12.506Z">
    <Condition xsi:type="typens:cnl:session-id">JobXPS1-2005-001</Condition>
    <Condition xsi:type="typens:cnl:policy-uri">CNLpolicy01</Condition>
  </Conditions>
  <AuthorizationDecisionStatement Decision="Permit" Resource="http://resources.collaboratory.nl/Philips_XPS1">
    <Action Namespace="urn:oasis:names:tc:SAML:1.0:action:cnl:action">cnl:actions:CtrlInstr</Action>
    <Action Namespace="urn:oasis:names:tc:SAML:1.0:action:cnl:action">cnl:actions:CtrlExper</Action>
    <Evidence>
      <Assertion AssertionID="f3a7ea74e515ffe776b10a7eef0119d7" IssueInstant="2005-02-15T14:53:23.542Z"
        Issuer="cnl:subject:CNLAAAauthority" MajorVersion="1" MinorVersion="1">
        <Conditions NotBefore="2005-02-15T14:53:11.745Z" NotOnOrAfter="2005-02-16T14:53:11.745Z" />
        <AttributeStatement>
          <Subject>
            <NameIdentifier Format="urn:oasis:names:tc:SAML:1.1:nameid-format:emailAddress"
              NameQualifier="cnl:subject">WHO740@users.collaboratory.nl</NameIdentifier>
            <SubjectConfirmation>
              <ConfirmationMethod>signed-subject-id</ConfirmationMethod> ===> moved to attr in SAML 2.0
              <ConfirmationData>
                PBLIR0aZRtdZmq9791j8eDpJ5VT6BxxWBtSAPc5BPnIsfHRUcOOpWQowXBw2TmOZdJGNzFWhMinz
                XU3/wSdLjv+siO2JGfyZ7U9eqkM0GqY8VizMl5uRuUAsrr7AIHv9/DP1ksJMNDZ5DnGosMc+Zyqn
                KogfMqhK+DKqPwfHF6U=</ConfirmationData>
            </SubjectConfirmation>
          </Subject>
          <Attribute xmlns:typens="urn:cnl" xmlns:xsd="http://www.w3.org/2001/XMLSchema" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
            instance" AttributeName="AttributeSubject" AttributeNamespace="urn:cnl">
            <AttributeValue xsi:type="typens:cnl:job-id">CNL2-XPS1-2005-02-02</AttributeValue> ===> level 5 element
            <AttributeValue xsi:type="typens:cnl:role">analyst@JobID;expert@JobID</AttributeValue>
          </Attribute>
        </AttributeStatement>
      </Assertion>
    </Evidence>
  </AuthorizationDecisionStatement>
</Assertion>
```



CNLAUTHNTicket example – 1752 bytes

```
<cnl:CNLAUTHNTicket xmlns:AAA="http://www.AAAarch.org/ns/AAA_BoD"
  xmlns:cnl="http://www.aaauthreach.org/ns/#CNL" Issuer="http://www.AAAarch.org/servers/AAA"
  TicketID="f35585dfb51edec48de0c7eadb11c17e">
  <!-- Mandatory elements -->
  <cnl:Validity NotBefore="2005-02-15T14:33:10.548Z" NotOnOrAfter="2005-02-16T14:33:10.548Z"/>
  <cnl:Subject Id="subject">
    <cnl:SubjectID>WHO740@users.collaboratory.nl</cnl:SubjectID>
    <cnl:SubjectConfirmationData>
      0+qQNAVuZW4txMi8DH6DFy7eLMGxSfKDJY6ZnY4UW5Dt0JFtatlEprUtgnjCkzrJUMvWk9qtUzna
      sDdUG+P4ZY7dgab+PHiU91ClusZbztu/ZIjNqCnw5su1BQLTumC8ZTtYKKJi4Wws+bMMbP8mFNQm
      +M7F4bJIPBfLcxf0bk4=
    </cnl:SubjectConfirmationData>
    <!--Optional elements -->
    <cnl:SubjectAttribute attrname="urn:cnl:subject:attribute:job-id">
      CNL2-XPS1-2005-02-02
    </cnl:SubjectAttribute>
    <cnl:SubjectAttribute attrname="urn:cnl:subject:attribute:role">
      analyst@JobID;expert@JobID
    </cnl:SubjectAttribute>
  </cnl:Subject>
</cnl:CNLAUTHNTicket>
```



CNLAUTHNToken signed/encrypted – 401/269 bytes

```
<cnl:CNLAUTHNToken xmlns:cnl="http://www.aaauthreach.org/ns/#CNL"
  TokenID="f35585dfb51edec48de0c7eadb11c17e">
  <cnl:SubjectID>WHO740@users.collaboratory.nl</cnl:SubjectID>
  <cnl:TokenValue>
    0+qQNAVuZW4txMi8DH6DFy7eLMGxsfKDJY6ZnY4UW5Dt0JFtat1EprUtgnjCkzrJUMvWk9qtUzna
    sDdUG+P4ZY7dgab+PHiU91ClusZbztu/ZIjNqCnw5sulBQLTumC8ZTtYKKJi4Wws+bMMbP8mFNQm
    +M7F4bJIPBfLcxf0bk4=</cnl:TokenValue>
</cnl:CNLAUTHNToken>
```

- CNLAUTHNToken is constructed of the CNLAUTHNTicket TicketID and SubjectConfirmationData which is encrypted SubjectID value
- CNLAUTHZToken must be self-sufficient and doesn't require caching CNLAUTHNTicket's

```
<cnl:CNLAUTHNToken xmlns:cnl="http://www.aaauthreach.org/ns/#CNL"
  TokenID="a392a20157698d201d77b2c6e8e444ef">
  <cnl:SubjectID>WHO740@users.collaboratory.nl</cnl:SubjectID>
  <cnl:TokenValue>qij9zJgKZp9RiJxYN1QJAN0vhjLJSMGVLD/doQtmCsk=</cnl:TokenValue>
</cnl:CNLAUTHNToken>
```